



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trad mark Offic

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | | | ATTORNEY DOCKET NO. | |
|--------------------------|------------------------------------|----------------------|----------|--------------|---------------------|--|
| 09/502,340 | 02/10/00 | FUERHOFF | | R | MEMC 99-0900 | |
| 000321 | 0321 Tr | | M22/0424 | | EXAMINER | |
| SENNIGER F | SENNIGER POWERS LEAVITT AND ROEDEL | | | ANDERSON.M | | |
| | OLITAN SQUA | RE | | ART UNIT | PAPER NUMBER | |
| 16TH FLOOR ST LOUIS M | • | | | 1765 | 4 | |
| | | | | DATE MAILED: | | |
| | | | | | 04/24/01 | |

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

| | Application No. | Applicant(s) | | | | | | |
|--|---|----------------------|--------|--|--|--|--|--|
| Office Action Summary | 09/502,340 | FUERHOFF ET AL. | | | | | | |
| Office Action Summary | Examiner | Art Unit | | | | | | |
| | Matthew A. Anderson | 1765 | | | | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address | | | | | | | | |
| Period for Reply | | | | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE @ MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status | | | | | | | | |
| 1) Responsive to communication(s) filed on 10 l | Responsive to communication(s) filed on 10 February 2000. | | | | | | | |
| 24) |) This action is FINAL . 2b) This action is non-final. | | | | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | | | | |
| Disposition of Claims | | | | | | | | |
| 4)⊠ Claim(s) <u>1-18</u> is/are pending in the application. | | | | | | | | |
| 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | | | |
| 6) ☐ Claim(s) is/are rejected. | | | | | | | | |
| 7) Claim(s) is/are objected to. | | | | | | | | |
| 8)⊠ Claims <u>1-18</u> are subject to restriction and/or election requirement. | | | | | | | | |
| Application Papers | | | | | | | | |
| 9) The specification is objected to by the Examiner. | | | | | | | | |
| 10) The drawing(s) filed on is/are objected to by the Examiner. | | | | | | | | |
| 11)☐ The proposed drawing correction filed on is: a)☐ approved b)☐ disapproved. | | | | | | | | |
| 12) The oath or declaration is objected to by the Examiner. | | | | | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | | | |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). | | | | | | | | |
| a) ☐ All b) ☐ Some * c) ☐ None of: | | | | | | | | |
| 1. Certified copies of the priority documents have been received. | | | | | | | | |
| | | | | | | | | |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | | | | |
| 14) ★ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| Attachment(s) | 18) 🔲 Interview Summ | nary (PTO-413) Papel | No(s). | | | | | |
| 15) ☐ Notice of References Cited (PTO-892) 16) ☒ Notice of Draftsperson's Patent Drawing Review (PTO-948) 17) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) | 19) Notice of Inform | | | | | | | |

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DETAILED ACTION

Election/Restrictions

- 1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
 - I. Claims 1-10, drawn to process, classified in class 117, subclass 13.
- Claims 11-18, drawn to apparatus, classified in class 117, subclass 200.

 The inventions are distinct, each from the other because of the following reasons:
- 2. Inventions I and II are related as process and apparatus for its practice. The inventions are distinct if it can be shown that either: (1) the process as claimed can be practiced by another materially different apparatus or by hand, or (2) the apparatus as claimed can be used to practice another and materially different process. (MPEP § 806.05(e)). In this case, the apparatus can be used to pull Si ingots in any of the other previously known ways to do so.
- 3. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.
- 4. Because these inventions are distinct for the reasons given above and the search required for Group I is not required for Group II, restriction for examination purposes as indicated is proper.

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5. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

6. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Matthew A. Anderson whose telephone number is (703) 308-0086. The examiner can normally be reached on M-Th, 6:30-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Benjamin Utech can be reached on (703) 308-3836. The fax phone

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numbers for the organization where this application or proceeding is assigned are (703) 305-3599 for regular communications and (703) 305-3599 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)308-0661.

MAA April 18, 2001

> BENJAMIN L. UTÉCH SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 1700

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